

END SEMESTER EXAMINATION

Investing in Stock Markets: CMIM0083

Total Marks: 100

Duration: 3 hrs

1. Answer whether true or false from the following

[1X10=10]

- i. Mutual Fund house is a financial institution. CO1
- ii. Merchant banking falls under financial service. CO1
- iii. SENSEX is the share price index of NSE. CO1
- iv. AMC stands for Asset Management Corporation. CO2
- v. Expense ratio is low in sectoral funds. CO4
- vi. In Sensex there are 25 companies. CO2
- vii. NAV stands for Net Asset Valuation. CO3
- viii. New issue market is also known as secondary market. CO2
- ix. Penny stocks are the most valuable companies. CO3
- x. Bonds and debentures are same. CO1

2. Answer any five from the following

[3X5=15]

- i. What do you understand by investment? CO2
- ii. List out six major global stock indices. CO4
- iii. What are the functions of stock exchange? CO1
- iv. State the features of a good investment. CO1
- v. Who are stock market participants? CO4
- vi. What is private placement? CO3

3. Write short notes on any five from the following

[7X5=35]

- i. Derivatives CO1
- ii. Clearing house CO3
- iii. Depository CO1
- iv. Pledging of shares CO5
- v. Shareholding pattern CO2
- vi. Types of orders CO2

4. Answer any four of the following

[10X4=40]

- i. Explain the role of Indian stock market in the economy of India. CO1
- ii. Explain the concept of IPO. What are its benefits? CO1
- iii. Explain in detail the concept of mutual fund. CO5
- iv. What are the various factors that affect stock market? CO3
- v. How do investors evaluate a company? Explain. CO4
- vi. What is FPO? Distinguish between FPO and IPO. CO3